



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GAU 3736  
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Applicant: Luciano, Joanne Sylvia

S.N.: 09/045,734

Group:  
Examiner:

Filed: March 20, 1998

Title: A Method for Predicting the Therapeutic Outcome of a Treatment for  
an Affective Disorder

Honorable Commissioner of Patents and Trademarks  
Box Patent Applications  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT  
Provision of Missing Copies of Cited References.

RECEIVED  
OCT 27 1998  
Group 3700

Dear Sir:

The Applicant, in accordance with the provisions of 37 CFR Sections 1.56, 1.97, and 1.98, submitted copies the attached PTO-1449 form mailed on July 24, 1998 with a partial set of documents as indicated by the Information Disclosure Statement filed therewith for consideration by the Examiner, in connection with the examination of the above-identified patent application. Duplicate copies of both the Information Disclosure Statement and PTO-1449 Form are provided herewith

It is respectfully requested that each of the following Documents be considered and made of record in this application.

AU. Bryson, A.E. And Ho, Y.-C. (1969) *Applied Optimal Control*. Blaisdell, New York

AW. deBoor, □C.; (1978) *A practical guide to splines*, Springer-Verlag, pp. 49-62.

AX. Efron, B. (1982) *The Jackknife, the bootstrap, and other resampling plans*. Society for Industrial and Applied Mathematics, Philadelphia, PA, pp. 1-92.

BD. Hamilton, M. (1967) Development of a rating scale for primary depression illness. *British Journal of Social and Clinical Psychiatry* 8: 278-298

BN. Lehmann, E.L. (1986). *Testing statistical hypotheses*. Wiley Series in Probability and Mathematical Statistics, Wiley, New York. The Table of Contents is provided. This is a basic mathematical reference.

BS. Nierenberg, A.A., et.al.; (1991) Methodological considerations for the study of treatment-resistant depression. In Amsterdam: J.D., editor, *Refractory Depression*, vol. 2 of *Advances in Neuropsychiatry and Psychopharmacology*, pp. 1-12, Raven Press, Ltd., New York.

BV. Popescu, C., et.al. (1993). Predictors of the response to tricyclic antidepressants in major depression. *Roman Journal of Neurology and Psychiatry*, 31: 117-134

BW. Quitkin, F. M., et.al.; (1984) Identification of true drug response to antidepressants. *Arch. Gen. Psychiatry* 41: 782-786

BX. Quitkin, F. M., et.al.; (1987) Use of pattern analysis to identify true drug response: A replication. *Arch. Gen. Psychiatry* 44: 259-264.

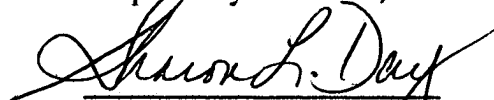
CD. Sauer, H., et.al. (1986) Prediction of the amitriptyline response: Psychopathology versus neuroendocrinology. *International Clinical Psychopharmacology* 1: 284-295

CE. Simpson, G.M., et.al. (1976) Two doses of imipramine in hospitalized endogenous and neurotic depressions. *Archives of General Psychiatry* 33:691-695.

CH. Werbos, P.J. (1974) *Beyond Regressions: New Tools for Prediction and Analysis in the Behavioral Sciences*. Ph.D. Thesis, Harvard University, pp. II-72 - II-93; V-38 - V-48.

Early consideration and allowance of the application is respectfully requested.

Respectfully submitted,



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10/21/98

#### CERTIFICATE OF MAILING

I, Sharon L. Day, hereby certify that this correspondence is being deposited with the United States Service as Priority Mail in an envelope addressed to: Assistant Commissioner of Patents, Box Patent Applications, Washington, DC 20231, on

10/21/98

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